The Vlasov equation: Theory and Simulation

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PUS DI ARCAVACATA







OUTLINE

FIRST PART

- -The physics of the interplanetary medium: the solar wind plasma
- How to model a plasma? a statistical approach
- The kinetic dynamics of a collision-free plasma: the Vlasov equation
- The physics of wave-particle resonant interaction (linear and nonlinear regime)

- Plasma wave echoes

SECOND PART

- The numerical approach for the solution of the Vlasov equation
- Few words about finite difference schemes
- Eulerian algorithms for Vlasov simulations
- Distribution of particle velocities in space plasmas
- The tail at short spatial scales of turbulence in the solar wind: Hybrid Vlasov-Maxwell simulations
- State of the art and work in progress

Everywhere in the Universe, from near-Earth environments, like for example planetary magnetospheres and solar atmosphere, to further systems, like radio galaxies and supernovae, the dynamics is driven by

plasma processes







A plasma is a collection of discrete charged particles globally neutral, behaving as a collective system, dominated by long range (electromagnetic) interactions

Plasma Physics

1. Astrophysics; space plasmas

2. Laboratory plasmas (fusion, ...)

3. Laser plasmas interaction

Plasma as an ideal, classical and collisionless gas



The mean free path of a particle in the solar wind is about 1AU

Debye length and Debye potential

$$\lambda_D^2 = \frac{kT}{4\pi n e^2}; \quad \frac{1}{g} \simeq n\lambda_D^3 \qquad \Phi_D = \frac{q}{4\pi\epsilon_0 r} \ e^{-r/\lambda_D}$$

Collective behavior of a plasma

e

A simple view: background of fixed ions

Collective response to external charge perturbations by high frequency electron oscillations around "fixed ions" at the **plasma frequency**:

$$\omega_{pe} = \left(4\pi n e^2/m_e\right)^{1/2}$$





Macroscopic variables of a plasma

$$f_{\alpha} = f_{\alpha}(\mathbf{x}, \mathbf{v}, t)$$
$$\int f_{\alpha}(\mathbf{x}, \mathbf{v}, t) d\mathbf{x} d\mathbf{v} = N_{\alpha}$$

The macroscopically observable quantities are found from the velocity moments of the d.f.:

Number of particles, Current density.

$$n_a(\mathbf{x},t) = \int f_a(\mathbf{x},\mathbf{v},t) \ d\mathbf{v}$$

 $\mathbf{J}_a(\mathbf{x},t) = q_a n_a(\mathbf{x},t) \mathbf{V}_a(\mathbf{x},t) = q_a \int \mathbf{v} f_a(\mathbf{x},\mathbf{v},t) \ d\mathbf{v}$

Pressure tensor, Scalar pressure:

$$\begin{split} P_a(\mathbf{x},t) &= m_a \int (\mathbf{v} - \mathbf{V}_a) (\mathbf{v} - \mathbf{V}_a) f_a(\mathbf{x},\mathbf{v},t) \ d\mathbf{v} \\ p_a &= \frac{1}{3} (p_{xx} + p_{yy} + p_{zz}) = n_a T_a \end{split}$$

The Vlasov Equation

In the absence of collisions the Vlasov equations describes the evolution of the distribution function under the effects of self-consistent and external electromagnetic fields

$$\frac{\partial f_{\alpha}}{\partial t} + \mathbf{v} \cdot \nabla f_{\alpha} + \frac{q_{\alpha}}{m_{\alpha}} \left[\mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c} \right] \cdot \nabla_{v} f_{\alpha} = 0$$
$$f_{\alpha} = f_{\alpha}(\mathbf{x}, \mathbf{v}, t)$$

$$\frac{df_{\alpha}}{dt} = 0$$

when calculated on the characteristics

$$\frac{d\mathbf{x}}{dt} = \mathbf{v}$$
$$\frac{d\mathbf{v}}{dt} = \frac{q_{\alpha}}{m_{\alpha}} \left[\mathbf{E} + \frac{\mathbf{v} \times \mathbf{B}}{c} \right]$$

Properties of the Vlasov equation

Invariants of the Vlasov equation:

$$I_a^{n}(t) = \int f_a^{n}(\mathbf{x}, \mathbf{v}, t) \, d\mathbf{x} \, d\mathbf{v} \, = \, cste$$

Any power of the d.f. is a constant of motion.

More generally, any function G(f) of f is a constant of motion:

$$\frac{dG}{dt} = \frac{dG}{df} \frac{df}{dt} = 0$$

For example, the entropy of the system is a constant:

$$\mathcal{S} = -\int f \ln(f) d\mathbf{x} \, d\mathbf{v}$$

This is consistent with the fact that the Vlasov equation neglects the process (binary collisions) which causes statystical systems to increase their entropy and evolve toward a Maxwell-Boltzmann distribution

Vlasov-Maxwell equations (mean-field theory)



Vlasov equation coupled to Maxwell equations is a nonlinear problem, whose analytical solution is available only in few simplified cases

Linear regime of wave-particle interaction

Electron distribution function; fixed ions with constant density

Vlasov-Poisson (1D-1V)

$$\frac{\partial f_e}{\partial t} + v \frac{\partial f_e}{\partial x} - \frac{eE}{m} \frac{\partial f_e}{\partial v} = 0$$
$$\frac{\partial E}{\partial x} = 4\pi e \left[n_0 - \int f_e(x, v, t) dv \right]$$

Equilibrium configuration

$$f_e(v, t = 0) = \frac{m_e^{1/2} n_0}{(2\pi kT)^{1/2}} \exp\left[-\frac{m_e}{2kT}v^2\right]$$
$$n_0 = const.; \quad E_0 = 0; \quad B_0 = 0$$

Small amplitude perturbations

$$f_e(x, v, t) = f_e(v, t = 0) + \delta f_e(x, v, t)$$
$$E(x, t) = \delta E(x, t)$$

$$\delta E(x,t) \simeq \exp\left[ikx - i\omega_R t + \omega_I t\right]$$

$$\omega_R \simeq \omega_{pe}$$
$$\omega_I \propto \left[\frac{df_e(v, t=0)}{dv} \right]_{v=v_\phi}$$

ON THE VIBRATIONS OF THE ELECTRONIC PLASMA

By L. LANDAU

Institute for Physical Problems, Academy of Sciences of the USSR

(Received June 2, 1945)

The vibrations of the electronic plasma are considered, which arose as a result of an arbitrary initial non-equilibrium distribution in it. It is shown that the vibrations of the field in plasma are always damped, and the dependence of the frequency and of the damping decrement on the wave vector is determined for small and for large values of the latter.

The penetration of a periodical external electric field into the plasma is considered. The case of the frequency of the external field being almost at resonance with the proper frequency of plasma is considered separately.

A simple case of wave damping



Electron distribution function

Electric sinusoidal perturbation (in the lab frame)

$$\delta E(x',t) = \hat{E}sin(kx' - \omega t)$$

 $\delta E(x,t) = \hat{E}sin(kx)~$ In the wave frame

One can calculate the wave damping coefficient by setting the rate of increase of kinetic energy of resonant particles equal to the rate of decrease of wave energy

The solution for the perturbed distribution function

$$\delta f(x, v, t) = \delta f(v, t = 0) \cos(kx - kvt) - \frac{e}{m} \hat{E} \frac{\partial}{\partial v} f_e(v, t = 0) \left[\frac{\cos(kx) - \cos(kx - kvt)}{kv} \right]$$

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$$\frac{dE_k}{dt} = \frac{nm}{2} \int_{-\lambda/2}^{\lambda/2} \frac{dx}{\lambda} \int_{-\infty}^{+\infty} dv \left(v + \frac{\omega}{k}\right)^2 \frac{\partial\delta f}{\partial t}$$
$$\frac{d\hat{E}^2}{dt} = 2\omega_I \hat{E}^2$$

A simple case of wave damping



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$$\frac{d\hat{E}^2}{dt} = 2\omega_I \hat{E}^2$$

$$\frac{dE_k}{dt} = -\frac{d}{dt} \left(\frac{\hat{E}^2}{8\pi}\right) \Rightarrow \omega_I = \frac{\pi}{2} \omega_{pe}^2 \frac{\omega_R}{k^2 n_0} \left[\frac{df_e(v, t=0)}{dv}\right]_{v=0}$$

The Landau damping rate

What happens to the wave energy?

Suppose you have a perturbation of the form

 $\simeq \exp\left[ik_1x - i\omega_R t + \omega_I t\right]$

The energy of this waves can be dissipated through Landau damping

However, in absence of collisions, the memory of the initial perturbation cannot be lost, but it remains locked in the distribution function, as a perturbation in the form

$$\simeq \exp\left[ik_1x - i\omega_R t + \omega_I t\right] + \exp\left[ik_1x - ik_1vt\right]$$

Ballistic contribution

If a second wave is launched into the plasma after the first wave has been Landau damped

A third wave called plasma echo will apperar after a certain delay time

$$\delta E_2 \simeq \exp\left[ik_2x - i\omega_R^{(2)}t + \omega_I^{(2)}t\right]$$

$$\delta f_2 \simeq \exp\left[ik_2x - i\omega_R^{(2)}t + \omega_I^{(2)}t\right] + \exp\left[ik_2x - ik_2vt\right]$$

Plasma wave echoes



PHYSICAL REVIEW LETTERS

31 JULY 1967

PLASMA WAVE ECHO*

R. W. Gould California Institute of Technology, Pasadena, California

and

T. M. O'Neil and J. H. Malmberg General Atomic Division of General Dynamics Corporation, John Jay Hopkins Laboratory for Pure and Applied Science, San Diego, California (Received 29 May 1967)

It is shown that if a longitudinal wave is excited in a collision-free plasma and Landaudamps away, and a second wave is excited and also damps away, then a third wave (i.e., the echo) will spontaneously appear in the plasma.

VOLUME 20, NUMBER 3

PHYSICAL REVIEW LETTERS

15 JANUARY 1968



John Holmes Malmberg My 5, 1927 - Nev. 17, 1992

PLASMA WAVE ECHO EXPERIMENT*

J. H. Malmberg, C. B. Wharton, R. W. Gould,[†] and T. M. O'Neil General Dynamics, General atomic Division, John Jay Hopkins Laboratory for Pure and Applied Science, San Diego, California (Received 22 September 1967)

Experimental observation of a new nonlinear plasma phenomenon, the plasma wave echo, is reported.





Plasma wave echoes



Nonlinear regime of wave-particle interaction

THE PHYSICS OF FLUIDS

VOLUME 8, NUMBER 12

DECEMBER 1965



Collisionless Damping of Nonlinear Plasma Oscillations

THOMAS O'NEIL*

Department of Physics, University of California, San Diego, La Jolla, California (Received 12 July 1965)

It is well known that the linear theory of collisionless damping breaks down after a time $\tau \equiv (m/e\&k)^{\dagger}$, where k is the wavenumber and & is the amplitude of the electric field. Jacobi elliptic functions are now used to provide an exact solution of the Vlasov equation for the resonant electrons, and the damping coefficient is generalized to be valid for times greater than $t = \tau$. This generalized damping coefficient reduces to Landau's result when $t/\tau \ll 1$; it has an oscillatory behavior when t/τ is of order unity, and it phase mixes to zero as t/τ approaches infinity. The above results are all shown to have simple physical interpretations.

Nonlinear effects: Particle trapping

$$\frac{\partial f_e}{\partial t} + v \frac{\partial f_e}{\partial x} - \frac{eE}{m} \frac{\partial f_e}{\partial v} = 0$$
$$\frac{\partial E}{\partial x} = 4\pi e \left[n_0 - \int f_e(x, v, t) dv \right]$$

For an electron moving at velocity close to the wave phase speed:

$$m\frac{d^2x}{dt^2} = -eE_k\sin\left(kx\right) \simeq eE_kkx$$

Nonlinear effects get important when resonant particles are trapped in the wave potential well

L. Landau (1946) linearized the VP equations in the perturbation amplitudes

O'Neil (1965) showed that nonlinear effects are no longer negligible for times comparable to

 $\tau = \left(\frac{m}{eEk}\right)^{1/2}$

Therefore, the charge oscillates with period

$$T_B = \frac{1}{2\pi} \sqrt{\frac{m}{ekE_k}} = \frac{2\pi}{\omega_B}$$





Phase space particle trajectories

 $E(x,t) = asin(kx - \omega t); \quad \phi(x,t) = (a/k)cos(kx - \omega t)$ Particle energy: $W = \frac{1}{2}mv^2 - e\phi = cste$





The simple harmonic motion in the trough of the plasma wave is a



Linear approximation no longer valid in the resonant region

major perturbation of the particle orbit

Eulerian algorithms for Vlasov simulations

The Vlasov equation is discretized on a grid in phase space and the field equations on a spatial grid.

Eulerian methods

Numerical integration of the Vlasov equation in phase space

<u>Electrostatic case.</u> fixed ions with constant density. 1D-1V phase space (x,v) for the sake of simplicity, but the generalization to multi-dimensional geometry is straightforward

$$\frac{\partial f_e}{\partial t} + v \frac{\partial f_e}{\partial x} + a \frac{\partial f_e}{\partial v} = 0$$

$$f_e = f_e(x, v, t); a = -\frac{eE}{m}$$

$$\frac{\partial E}{\partial x} = 4\pi e \left[n_i - \int_{-\infty}^{+\infty} f_e(x, v, t) dv \right]$$
Advection equation in phase space
$$\frac{\partial E}{\partial x} = 4\pi e \left[n_i - \int_{-\infty}^{+\infty} f_e(x, v, t) dv \right]$$

Phase space and time discretization





$$v_{k} = \mathbf{k} \Delta \mathbf{v}$$
$$k = -N_{v}, N_{v}$$
$$\Delta \mathbf{v} = \frac{V_{\text{max}}}{N_{v}}$$

Time discretization:

$$t_n = n\Delta t$$

$$n = 0, n_{step}$$

$$\Delta t \le \min\left\{\frac{\Delta x}{v_{max}}, \frac{\Delta v}{a_{max}}\right\}$$

CFL stability condition

Splitting method for the Vlasov equation

Distribution function in physical space



Advection in physical space (describes translation in physical space)

Advection in velocity space (describes translation in velocity space)

Distribution function in velocity space

The time evolution of the distribution function is divided into two steps:

EVOLUTION in physical space
 EVOLUTION in velocity space

The time splitting algorithm

$$\frac{\partial f_{x}}{\partial t} + v \frac{\partial f_{x}}{\partial x} = 0 \Rightarrow f_{x}(t + \Delta t) = \Lambda_{x}(\Delta t) f_{x}(t)$$

$$\frac{\partial f_{v}}{\partial t} + a \frac{\partial f_{v}}{\partial v} = 0 \Rightarrow f_{v}(t + \Delta t) = \Lambda_{v}(\Delta t) f_{v}(t)$$
Translation operators

Finite differences for the translation operators

$$f(x_{i+1}) = f(x_i) + \Delta x \left(\frac{df}{dx}\right)_{x_i} + \frac{1}{2}\Delta x^2 \left(\frac{d^2f}{dx^2}\right)_{x_i} + o(\Delta x^3)$$
(1)

$$f(x_{i-1}) = f(x_i) - \Delta x \left(\frac{df}{dx}\right)_{x_i} + \frac{1}{2}\Delta x^2 \left(\frac{d^2f}{dx^2}\right)_{x_i} + o(\Delta x^3)$$
(2)

$$(1) - (2) \Rightarrow \left(\frac{df}{dx}\right)_{x_i} = \frac{f(x_{i+1}) - f(x_{i-1})}{2\Delta x} + o(\Delta x^2) \qquad \mathbf{C}$$

Centred difference

The time splitting algorithm





The time splitting algorithm



 $f(n\Delta t) = \{\Lambda_x(\Delta t/2)\Lambda_v(\Delta t)[\Lambda_x(\Delta t)\Lambda_v(\Delta t)]^{n-1}\Lambda_x(\Delta t/2)\}f_0$

Chen and Knorr, JCP (1977) Mangeney et al. JCP (2002)

Initial electric perturbation



Plasma wave echoes (Vlasov simulation)

 $k_{\rm echo} = k_2 - k_1 = 0.4 \lambda_D^{-1}$



Nonlinear saturation of Landau damping

Damping rate time behavior



O'Neil found that, due to nonlinear effects, the Landau damping rate of the wave starts oscillating around zero on the trapping time scale with decreasing amplitude.



Nonlinear saturation of Landau damping

Damping rate time behavior



Nonlinear saturation of Landau damping

Damping rate time behavior γ**(t)** τ_{tr} 0 γ_{L} t $E(x,t=0) = E_0 \sin(kx)$ $E_0 = 0.2; k = \frac{2\pi}{L_x} = 0.5$ Vlasov simulations 0.10 p 0.08 E 0.06 $\mathsf{IE}_{\mathsf{k}}(\mathsf{t})$ 0.04 0.02 0.00 0 500 1000 1500 time



Phase space distribution function



Be careful with numerical Vlasov simulations



Galeotti and Califano, PRL (2005)

$$N_{3}(t) = \int f^{3}(x, v, t) dx dv$$
$$S(t) = -\int f \ln(f) dx dv$$



Filamentation problem



Small-scale structures are generated in the phase space distribution function due to trapping

 $L_v \approx \Delta v$

When the typical size of the velocity structures gets smaller than the numerical resolution...

The numerical algorithm is not able to resolve them anymore...The topology of phase space is changed



Energy conservation





Valentini et al. PRE (2005) Valentini et al. JCP (2005)



The velocity distributions in space plasmas are source of free energy for many instabilities and kinetic processes



Turbulence and kinetic effects in space plasmas



Energy cascades towards small scales

the understanding of the physical processes that govern the system dynamics at frequency of the order and beyond the ion-cyclotron frequency is of key relevance in space physics

Link beween low frequency (fluid) dynamics and high frequency (kinetic) dynamics

Frontier problem in plasma physics

Investigation of cross-scale interactions: from fluid to kinetic scales "A challenge in computational plasma physics"



Hybrid Vlasov-Maxwell equations (dimensionless units)

$$\begin{split} \frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla f + \left[\mathbf{E} + \mathbf{v} \times \mathbf{B} \right] \cdot \nabla_v f &= 0 \qquad \text{Vlasov equation for ions} \\ \mathbf{E} &= -\mathbf{u} \times \mathbf{B} + \frac{1}{n} \mathbf{j} \times \mathbf{B} - \frac{1}{n} \nabla P_e + d_e^2 [\nabla^2 \mathbf{E} + \cdots] \quad \text{Ohm's law} \\ \nabla \times \mathbf{E} &= -\frac{\partial \mathbf{B}}{\partial t} \qquad \text{Faraday equation} \\ \nabla \times \mathbf{B} &= \mathbf{j} \qquad \text{Current Density (low frequency approximation)} \\ n_e &\simeq n_i \simeq n \quad \text{Quasi-neutrality} \qquad V_A = \frac{B}{(4\pi\rho)^{1/2}} \\ P_e &= n^\gamma \qquad \text{Equation of state} \qquad \Omega_i = \frac{eB}{m_i c} \\ d_i &= \frac{V_A}{\Omega_i}; \quad d_e = \frac{m_e}{m_i} \end{split}$$

Valentini et al, JCP 2007

Numerical algorithm





$$\beta = 2v_{ii}^2/V_A^2 = 2$$
$$T_e/T_i = 1$$

 $N_x = 512; N_y = 512; N_{V_x} = 51; N_{V_y} = 51; N_{V_z} = 51$

Simulation of decay turbulence

Servidio, Valentini, Califano and Veltri, PRL (2012)

TABLE I. Initial amount of magnetic fluctuations (second column), system size (third column), and time of the peak of the turbulent activity (last column).

	$\delta b/B_0$	L_0/d_i	$ au^{\star}(\Omega_{ci}^{-1})$
Run I	1/7	$2\pi \times 30$	150
Run II	1/3	$2\pi \times 20$	50
Run III	1/3	$2\pi \times 10$	20

Hybrid Vlasov-Maxwell simulations of 2D plasma turbulence



FIG. 1 (color online). (a) Shaded contours (zoom) of j_z together with a_z (isolines) and its X points (black crosses). (b) Power spectra of ion density (green dotted), ion bulk velocity (red dashed), magnetic field (black solid), and electric field (dotdashed blue). The Kolmogorov expectation $k^{-5/3}$ (gray dashed) is reported as a reference, while the vertical dashed line represents the ion skin depth wave number.

The contour plot of the out of plane current density shows the presence of magnetic reconnection events (black crosses)

Local temperature anisotropy

 $A = \frac{T_{\perp}}{T_{\parallel}}$



FIG. 8: Temperature anisotropy, defined as λ_1/λ_3 [see Eq. (7) and text below], in turbulence.

Statistical analysis of the local temperature anisotropy



FIG. 2 (color online). (a) PDF of the temperature anisotropy λ_1/λ_3 for all runs (arrows represent averages); (b) shaded-contour (zoom) of the anisotropy together with the inplane magnetic field lines (black);

Hybrid Vlasov-Maxwell simulations of 2D plasma turbulence



FIG. 10: Zoom of Fig. 8 (top) together with $|\delta b|^2$ (bottom). A correlation between the anisotropy and the magnetic field strength is evident.



FIG. 3 (color online). (a) Isosurfaces of the velocity distribution function $f(\mathbf{x}^*, \mathbf{v})$, at a given spatial position $\mathbf{x}^* \simeq$ $(60, 119)d_i$. (b) Two-dimensional cut of f in the minimum variance frame. Thin (red) and thicker (blue) axis indicate \hat{e}_1 and \hat{e}_3 , respectively. The magnetic field direction \hat{B} is represented with a thick (magenta) tube.

First attempt of 3D-3V Vlasov turbulence

The first example of Valov turbulence has been realized with relatively low resolution both in physical and velocity space

$$N_x = 32; N_y = 32; N_z = 32; N_{V_y} = 31; N_{V_y} = 31; N_{V_z} = 31$$

This was a preparatory run performed on SP6 Machine at CINECA

On the new FERMI machine at CINECA, within a PRACE research project, we plan to run Hybrid Vlasov-Maxwell simulations wih a phase space resolution:

 $N_x = 128; N_y = 128; N_z = 128; N_{V_y} = 51; N_{V_y} = 51; N_{V_z} = 51$

OR LARGER?!? ASK CAVAZZONI!!!

 $f \simeq 2500Gb = 2.5Tb$!!!



Fig. 9. 3D shaded contour of the proton density. In the bottom-left corner of the cube is reported the system of axis (x, y, z) with their respective colors (red, green, blue). The plasma blobs are elongated along the magnetic field direction z (blue).

1.15111
 1.028
 0.920895
 0.805776
 0.890655
 0.575554
 0.806443
 0.306222
 0.306222
 1.0306222
 1.151311
 Timo678; 0



First attempt of 3D-3V Vlasov turbulence

Fig. 10. Total magnetic field **B** (red spaghetti), from different perspectives, together with the high-intensity isosurfaces of j^2 (blue bubbles).





Fig. 11. Zoom of the magnetic field fluctuations b (without mean field), together with j²(blue isosurfaces). A magnetic structure (3D vortex, or flux-tube) is identified.

That's all !!!

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